

# **Federal Public Key Infrastructure Policy Authority (FPKIPA)**

**Minutes of the 11 March 2003 Meeting**  
*1800 F Street, Room 5141A, Washington, DC*

## **1. AGENDA**

1. Introductions
2. Vote on Approval of Meeting Minutes
3. Federal PKI Deployment Workshop Update
4. Federal PKI Steering Committee Report
5. FBCA Operational Authority Report
6. Certificate Policy Working Group (CPWG) Report
7. Other Topics
8. Next Meeting/Meeting Adjourned

### **Voting member attendance list:**

GSA – David Temoshok  
OMB – Jeanette Thornton  
NASA – Tice DeYoung  
DoD – Proxy by Dave Hanko  
Commerce – Proxy by Tim Polk  
Treasury – Proxy by Edd Barnes  
USDA/NFC – Absent  
Justice - Absent

## **Agenda Items 1 & 2**

### **Introductions / Vote on Approval of 14 Jan 03 and 11 February 03 Meeting Minutes:**

Mr. Edd Barnes, Department of the Treasury, called the meeting to order on 11 March 2003 at 9:40am in Room 5141A of the GSA Building located at 1800 F Street N.W. in Washington, DC. The Meeting Minutes for December 2002, January 2003, and February 2003 were discussed, since they haven't been approved yet.

The December 2002 minutes were not recommended for approval vote at this meeting because they are still awaiting comments from one member of the FPKIPA. These outstanding comments will be obtained, the minutes will be updated, and the revised minutes will be distributed via email for electronic vote.

The motion to approve the 14 January 2003 Meeting Minutes was given by David Temoshok and 2<sup>nd</sup> by Jeanette Thornton. These minutes were unanimously approved.

The motion to approve the 11 February 2003 Meeting Minutes was given by Tim Polk and 2<sup>nd</sup> by Edd Barnes. These minutes were unanimously approved, assuming the following changes will be made:

- Delete all of the text in Agenda item #7. Insert the text, “ACES is in the process of cross-certifying with the FBCA.”
- Revise Agenda item #4, 4<sup>th</sup> paragraph:
  - Change any reference to “Federal Root CP (FROOT)” to “Common Policy Framework CP”
  - Change the first sentence, “A Root Policy for Common Policy Framework” to “A Certificate Policy (CP) for the Common Policy Framework”
- Revise Agenda item #6, 2<sup>nd</sup> paragraph:
  - Change “FPKI” to “CPWG”
  - Change “members has” to “members have”

**Action (Item 35): Update the December minutes and distribute for an electronic vote.**

**Action (Item 36): Update the February minutes, distribute to the FPKI PA membership, and post to the FPKI PA web site.**

### **Agenda Item 3**

#### **Federal PKI Deployment Workshop Update:**

Mr. Lentz provided the latest highlights about the upcoming workshop:

- 1) Attendees - As of the early morning of 11 March, there were 190 people signed up for the workshop. Ms. Spencer, Mr. Polk, and Mr. Temoshok each recommended a few more specific people to contact about the workshop and doing whatever we could to facilitate their attendance.
- 2) Speaker bios and presentations – As of the early morning of 11 March, there were still about 6 presentations still outstanding and would not be part of the hard copy presentation book that will be given to each attendee. These outstanding presentations will be obtained from the speakers just prior to their presentation time.
- 3) Exhibitors – There will be 10 exhibitors in the exhibit hall at the workshop. This is a smaller number of exhibitors than we were hoping for so this will be an area for improvement for the next workshop.
- 4) Sponsors – There have been a total of 5 organizations that have agreed to be sponsors for this workshop. Money received from the sponsors, combined with the money received from registration fees and exhibit fees, will total enough money to cover the food expenses and a small portion of some of the other workshop costs.

## **Agenda Item 4**

### **Federal PKI Steering Committee Report:**

The last FPKISC Meeting had a great turnout. Several modifications to the Common Policy Framework CP were discussed and changes will be made. The issuance of hardware tokens, and how detailed the information should be in the Common Policy Framework document was discussed. Identity proofing requirements were also discussed.

## **Agenda Item 5**

### **FBCA Operational Authority (OA) report:**

Microsoft – Microsoft will contact the FBCA OA by the end of March for re-testing.

RSA – RSA recently underwent an IT security evaluation and would like to use the results to see if that will allow interoperability. National Institute of Standards and Technology (NIST) is looking at the evaluation report. About half of the requirements have been met and they will look at FIPS 140 requirements to meet further requirements.

Department of Defense Key Management Infrastructure (DoD KMI) – FBCA OA sent a cross-certification request to DoD. The DoD said that the request would be reviewed as their workload allows.

Illinois - The preliminary mapping of Illinois was reviewed in a small CPWG meeting. Judy Spencer and Tim Polk suggested considering the text in both the Illinois CP and CPS when conducting the mapping with the FBCA CP. Brian Dilley found the combination of the Illinois CP/CPS as mostly compliant to the FBCA CP. If the FPKI PA agrees to use both the Illinois CP and CPS for policy mapping, Judy Spencer and Tim Polk want to make an agreement with Illinois in their MOA that they must tell the FPKI PA whenever they make any changes to their CP or CPS. After much discussion on the sources of the documentation for the mapping evaluation, the FPKI PA agreed to allow the use of an applicant's CP and CPS for policy mapping, as long as all CP and CPS text used in the mapping comparison is documented.

Government of Canada (GoC) – The initial mapping of GoC is complete. A meeting is scheduled for 14 March to discuss the agreement and legal issues, to review the mapping, and discuss directory interoperability.

Department of Labor (DoL) – DoL is in the process of being mapped with the FBCA CP.

Department of State (DoS) – The DoS now has an operating Certification Authority (CA) and they are ready to be mapped to the FBCA CP. DoS needs to submit their CP for mapping at the Medium and High assurance levels.

Access Certificates for Electronic Services (ACES) – Comments submitted to vendors. The PMO has gotten comments from 1 vendor.

**Action (Item 37): Judy and Tim will review the Methodology/Criteria document and confirm that there isn't any text to prohibit the use of an applicant's CP and CPS for policy mapping evaluations.**

**Agenda Item 6**

**The CPWG report:**

The next CPWG Meeting will be Friday, 14 March 2003 at GSA Headquarters, 1800 F Street NW, starting at 0900, to discuss the mapping of the Government of Canada (GOC) CP.

**Action (Item 38): IATAC will send the Illinois mapping to the CPWG for review.**

**Action (Item 39): IATAC will set up a CPWG meeting to discuss the Illinois mapping.**

**Agenda Item 7**

**Other Topics:**

The 13 May meeting will be the first one attended by Mike Green's successor, Mr. Gil Nolte.

**Agenda Item 8**

**Next Meeting/Meeting Adjourned:**

The April PA meeting is cancelled due to numerous scheduling conflicts within the FPKI PA. Therefore, the next meeting will be held on 13 May at the GSA Headquarters building, Room 5141A, starting at 09:30am. This meeting was adjourned at 11:30 am.

**2. LIST OF ATTENDEES**

<b>NAME</b>	<b>Email</b>	<b>Telephone</b>	<b>Organization</b>
Alterman, Peter	peter.alterman@nih.gov	301.252.8846	FPKISC
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Cornell, John	john.cornell@gsa.gov	202.501.1598	GSA
DeYoung, Tice	tice.f.deyoung@nasa.gov	703.872.8615	NASA
Dilley, Brian	dilley_brian@bah.com	410.684.6202	IATAC
Hanko, Dave	djhanko@missi.ncsc.mil	410.854.4900	DoD PKI PMO
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Temoshok, David	david.temoshok@gsa.gov	202.208.7655	GSA

Thornton, Jeanette	jthornto@omb.eop.gov	202.395.3562	OMB
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### 3. CURRENT ACTION ITEMS

No.	Action Statement	POC	Start Date	Status
004	<p>Define the audit criteria (Web Methods, SAS70, PAG) that will be used to conduct C&amp;A sessions for the FBCA and FBCA OA.</p> <p>14 January 2003 – This delta report of what is covered by each C&amp;A technique has been deferred until the completion of the FBCA Criteria and Methodology documents.</p>	Tim Polk, CPWG Co-chair	<p>08 April 2002</p> <p>Updated – 14 January 2003</p>	<b>Open</b> – reassigned to GSA/FTS, Cheryl Jenkins (as of 14 Jan 2003)
007	<p>Finalize ‘draft’ Application Form, incorporate comments from the NASA experience and email responses from the FPKIPA, so that a new version can be posted and distributed via the web.</p> <p>14 January 2003 – The IATAC Team working with the FBCA OA is to finalize this form.</p>	IATAC	<p>08 April 2002</p> <p>Updated - 14 January 2003</p>	<b>Closed</b>
021	<p>Schedule a Special CPWG Meeting to discuss the Criteria/Methodology documents for the FBCA</p> <p>14 January 2003 – Need to schedule a CPWG working group to complete the document, this is to be scheduled 1/28/03 or in early Feb 2003, it is desired to complete this document prior to the FPKI Deployment Workshop to be held in Mar 2003.</p> <p>11 February 2003 – Meeting scheduled for 28 February at ASQ2 office</p>	Judy Spencer/ Tim Polk	<p>25 October 2002</p> <p>Updated – 14 January 2003</p> <p>Updated – 11 February 2003</p>	<b>Closed</b>
023	<p>CPWG Mapping Report of NFC Basic Assurance</p> <p>14 January 2003 – Tim Polk is to finalize this report and delivery it to the FPKIPA for approval.</p>	Tim Polk	<p>25 October 2002</p> <p>Updated – 14 January 2003</p>	<b>Open</b>
024	State of Arkansas CP Analysis	IATAC	10 December 2002	<b>Closed</b>
029	Need to schedule a DoD KMI architecture meeting to resolve final issues of interoperability with FBCA OA	FBCA OA	14 January 2003	<b>Closed</b>
030	NIST to define the Security target used in Common Criteria (CC) testing.	Tim Polk	14 January 2003	<b>Open</b>
031	MOA’s for the Cross Certification applicants, DoD, NASA, Treasury and USDA/NFC need to be sent to the FBCA OA in original format with	IATAC/ FPKIPA Chair	14 January 2003	<b>Open</b>

No.	Action Statement	POC	Start Date	Status
	signatures.			
032	It was requested by the FBCA OA to provide the FPKIPA Meeting Minutes that show voting approval for each cross certification applicant and the CPWG report of the Mapping Matrix be included as part of the FBCA Cross Certification Package	IATAC	14 January 2003	<b>Open</b>
033	Send request for changes of the December 10, 2002, and January 14, 2003 Minutes to IATAC	IATAC, Cheryl Jenkins, Jeanette Thornton	11 February 2003	<b>Closed</b>
034	Distribute updated December 10, 2002, and January 14, 2003 Minutes to group for an electronic vote.	IATAC	11 February 2003	<b>Closed</b>
035	Update the December minutes and distribute for an electronic vote.	IATAC	21 March 2003	<b>Closed</b>
036	Update the February minutes, distribute to the FPKI PA membership, and post to the FPKI PA web site.	IATAC	21 March 2003	<b>Closed</b>
037	Review the Methodology/Criteria document and confirm that there isn't any text to prohibit the use of an applicant's CP and CPS for policy mapping evaluations.	Judy Spencer, GSA Tim Polk, NIST	21 March 2003	<b>Open</b>
038	Send Illinois mapping to CPWG for review.	IATAC	21 March 2003	<b>Closed</b>
039	Set up a CPWG meeting to discuss the Illinois mapping.	IATAC	21 March 2003	<b>Closed</b>